

2020 ACCOMPLISHMENT REPORT- RISK OVERSIGHT COMMITTEE

1. COMPOSITION

Acting Chairperson: Stephen A. Antig	<ul style="list-style-type: none"> ▪ Master in Business Management, Asian Institute of Management ▪ AB Political Science-BSC Accounting, University of San Carlos ▪ Birthday - November 23, 1951 ▪ Age - 69 years old ▪ Date of Assumption: October 17, 2018 ▪ Date of Reporting: November 20, 2018
Members: P/CEO Pascual	<ul style="list-style-type: none"> ▪ MBA Candidate, Ateneo Graduate School of Business ▪ BS in Business Administration, Major in Financial Management- University of the Philippines ▪ Birthday - September 17, 1952 ▪ Age - 67 years old ▪ Date of Appointment - March 1, 2019 ▪ 2019 Trainings- ▪ Corporate Governance Orientation Program- March 27, 2019
Tomas H. Lipana	<ul style="list-style-type: none"> ▪ Bachelor of Science in Business Administration - Major in Accounting, UE Manila, Certified Public Accountant ▪ Management Development Program (AIM), Top Management Program (AIM), Executive Development Program (Harvard Business School) ▪ Birthday - December 21, 1948 ▪ Age - 70 years old ▪ Date of Appointment - June 16, 2015
Michael Roberto P. Reyes	<ul style="list-style-type: none"> ▪ BS in Civil Engineering- University of the Philippines ▪ MA in Business Administration- Ateneo School of Business (Thesis for Completion) ▪ Birthday- May 22, 1971 ▪ Age - 48 years old ▪ Date of Appointment - July 25, 2016
Independent Director 3	<ul style="list-style-type: none"> ▪ Vacant

2. ACCESS TO INFORMATION - AT LEAST THREE (3) WORKING DAYS PRIOR TO SCHEDULED MEETING, pursuant to BR No. 2006, Series of 2008.

3. Per Charter the Committee shall meet as often as necessary or as it deems appropriate

COMPOSITION	NUMBER OF MEETINGS ATTENDED	TOTAL NUMBER OF MEETINGS
1. Acting Chairperson Antig	3	3
2. P/CEO Pascual*	3	3
3. Director Lipana	3	3
4. Director Antig*	3	3
5. Independent Director 3	NA	NA

4. Total No. of Meetings for CY 2020 is 3.

1. August 20, 2020

2. October 16, 2020

3. December 10, 2020

5. Matters Acted Upon by the Committee

- Report on Portfolio Cap, CAR and SBL as of 30 September, 31 October, 30 November, 31 December 2019 and 31 March 2020
- AGFP Group- Status Report (Accomplishment Report) as of 30 June 2020 including report on the Guarantee Calls/Claims as of 30 June 2020
- Housing Group- Status Report on the Guarantee Calls/Claims as of 19 August 2020
- Supplemental Business Continuity Plan 2020
- Revocation of Credit Policy Memoranda
- Report on Portfolio CAP, CAR and SBL as of 31 March 2020 and 30 June 2020
- Report on Portfolio Earnings and Risk Profile as of 31 December 2019, 31 March 2020
- Report on Housing Guarantee Calls/Claims as of 30 September 2020
- Report on AGFP's Guarantee Calls/Claims as of 31 August 2020
- Operational Risk Management Framework and Risk Control Self Assessment and Form
- Report on Portfolio CAP, CAR & SBL as of September 30, 2020 (with comparative figures as of December 31, 2019, March 31 and June 30, 2020)
- Report on Portfolio Earnings & Risk Profile as of November 30, 2020 (with comparative figures as of December 31, 2019, March 31, June 30 and September 30, 2020)

SUMMARY OF MEETING OF RISK OVERSIGHT COMMITTEE **FOR CY 2020**

Approved Schedule	Actual Meeting	Remark
March 20, 2020		No Agenda matters submitted for consideration of the Committee
April 22, 2020		
July 24, 2020	August 20, 2020	Difficulty in securing a quorum.
November 20, 2020	October 16, 2020	With the appointment of the new Enterprise Risk Management Head, there are proposed risk policies and guidelines submitted for urgent consideration of the Committee in relation to codifying the guidelines and protocols issued by the Corporation in response to the COVID-19 pandemic.
	December 10, 2020	There are risk policies and guidelines submitted for the urgent consideration of the Committee, e.g. Operational Risk Management Framework, Risk Control Self-Assessment and Forms.